

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-22  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2011-09-16  
**Date of Last Exhibit 300A Update:** 2012-08-22  
**Date of Last Revision:** 2012-08-22

**Agency:** 024 - Department of Homeland Security      **Bureau:** 58 - Customs and Border Protection

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** CBP - Advance Passenger Information System (APIS)

**2. Unique Investment Identifier (Ull):** 024-000005138

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The APIS system is used to review air and sea passengers and crew in an effort to identify possible terrorists, uncover high-risk individuals, and facilitate the clearance process for a vast majority of legitimate travelers. The Aviation and Transportation Security Act (ATSA) became law, November 19, 2001. Section 115 of ATSA requires commercial air carriers to provide APIS data for inbound passengers and crewmembers before their arrival in the United States. The system is not directly dependent on any other investment although APIS will be modernized as part of TECS Modernization and will in its modernized state become a component of TECS Modernization. Principle beneficiaries include Customs and Border Protection, Transportation Security Administration and Commercial Air Carriers.

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

This system is in sustainment. Enhancements to the program are primarily reactive in response to event driven needs and noted operational performance. The program identifies the mission need identified in the Aviation and Security Act by providing the information medium for commercial air carriers to provide APIS data for inbound passengers and crew

members before their arrival in the United States. If this program is not fully funded, CBP will eventually be unable to properly comply with the Aviation and transportation Security Act of 2001 in that CBP will be unable to adequately process passenger and crew member manifests.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

Prior Year accomplishments include sustainment and limited enhancement of APIS operational Efficiency primarily through the APIS-Carrier Support Services enhancement. Maintenance releases improve the functional operation of the modules within APIS.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

FY 2012: Sustain APIS: Complete APIS Carrier Support Services Enhancement; Perform enhancement to eAPIS support module (eAPIS is an internal subsystem of APIS); Perform APIS Quick Query (Name by Name) operational functionality enhancement. FY 2013: Maintain subsystems of APIS. These are Carrier Support Services, eAPIS and APIS quick Query; sustain iAPIS, the reporting function of APIS.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-10-19

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$18.7	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$18.7	0	0	0
O & M Costs:	\$79.4	\$4.4	\$5.5	\$5.1
O & M Govt. FTEs:	\$3.5	\$0.4	\$0.4	\$0.4
Sub-Total O & M Costs (Including Govt. FTE):	\$82.9	\$4.8	\$5.9	\$5.5
Total Cost (Including Govt. FTE):	\$101.6	\$4.8	\$5.9	\$5.5
Total Govt. FTE costs:	\$3.5	\$0.4	\$0.4	\$0.4
# of FTE rep by costs:	31	3	3	3
Total change from prior year final President's Budget (\$)		\$0.3	\$0.0	
Total change from prior year final President's Budget (%)		6.00%	0.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

None.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7014	<a href="#">HSBP1010J00855</a>	HSHQDC06D00020	7001							
Awarded	7014	<a href="#">HSBP1011F00500</a>	GS35F5924H	4730							
Awarded	7014	<a href="#">HSBP1012C00054</a>	HSBP1012R0055	7014							

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

The contract on line one is not a performance based contract and is an old task that did not require earned value management. This contract will be replaced in FY2012 with government personnel hired under the recent federalization effort. In place of earned value, the effort of this contractor is monitored by the project manager through progress reports, formal reviews and scrutiny of monthly invoices.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-22

Section B: Project Execution Data

Table II.B.1 Projects					
Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
1	Advance Passenger Information System	Maintain Operational Functionality of Advance Passenger Information System.			

Activity Summary								
Roll-up of Information Provided in Lowest Level Child Activities								
Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
1	Advance Passenger Information System							

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
NONE								

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Facilitate clearance of low risk travelers by having vetted APIS information available on primary inspection lane for 97% of travelers.	percent	Customer Results - Service Accessibility	Over target	97.000000	97.000000	97.000000	97.000000	Monthly
Provide sufficiency reports for assessment of carrier compliance to local APIS coordinators by 0800 EST on the 2nd day after flight arrives 99% of the time.	percent	Customer Results - Service Quality	Over target	99.000000	99.000000	99.000000	99.000000	Monthly
Achieve sufficiency rate of 97% based on the number of valid APIS records transmitted compared to passengers on board.	percent	Process and Activities - Productivity	Over target	97.000000	97.000000	97.000000	97.000000	Monthly
Process complete APIS manifest and post to TECS within 4 minutes of receipt.	minutes	Technology - Efficiency	Under target	4.000000	4.000000	3.500000	4.000000	Monthly
Percent of time that system capabilities are available.	percent	Technology - Reliability and Availability	Over target	99.500000	99.600000	99.600000	99.700000	Monthly